

# CHAIN CONDITIONS IN DEPENDENT GROUPS

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ABSTRACT. In this note we prove and disprove some chain conditions in type definable and definable groups in dependent, strongly dependent and strongly<sup>2</sup> dependent theories.

## 1. INTRODUCTION

This note is about chain conditions in dependent, strongly dependent and strongly<sup>2</sup> dependent theories.

Throughout, all formulas will be first order,  $T$  will denote a complete first order theory, and  $\mathfrak{C}$  will be the monster model of  $T$  — a very big saturated model that contains all small models. We do not differentiate between finite tuples and singletons unless we state it explicitly.

**Definition 1.1.** A formula  $\varphi(x, y)$  has the independence property in some model if for every  $n < \omega$  there are  $\langle a_i, b_s \mid i < n, s \subseteq n \rangle$  such that  $\varphi(a_i, b_s)$  holds iff  $i \in s$ .

A (first order) theory  $T$  is dependent (sometimes also NIP) if it does not have the independence property: there is no formula  $\varphi(x, y)$  that has the independence property in any model of  $T$ . A model  $M$  is dependent if  $\text{Th}(M)$  is.

For a good introduction to dependent theories appears we recommend [Adl08], but we shall give an exact reference to any fact we use, so no prior knowledge is assumed.

What do we mean by a chain condition? rather than giving an exact definition, we give an example of such a condition — the first one. It is the Baldwin-Saxl Lemma, which we shall present with the (very easy and short) proof.

**Definition 1.2.** Suppose  $\varphi(x, y)$  is a formula. Then if  $G$  is a definable group in some model, and for all  $c \in C$ ,  $\varphi(x, c)$  defines a subgroup, then  $\{\varphi(\mathfrak{C}, c) \mid c \in C\}$  is a family of *uniformly definable subgroups*.

**Lemma 1.3.** [BS76] *Let  $G$  be a group definable in a dependent theory. Suppose  $\varphi(x, y)$  is a formula and that  $\{\varphi(x, c) \mid c \in C\}$  defines a family of subgroups of  $G$ . Then there is a number  $n < \omega$  such that any finite intersection of groups from this family is already an intersection of  $n$  of them.*

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*Proof.* Suppose not, then for every  $n < \omega$  there are  $c_0, \dots, c_{n-1} \in C$  and  $g_0, \dots, g_{n-1} \in G$  (in some model) such that  $\varphi(g_i, c_j)$  holds iff  $i \neq j$ . For  $s \subseteq n$ , let  $g_s = \prod_{i \in s} g_i$  (the order does not matter), then  $\varphi(g_s, c_j)$  iff  $j \notin s$  — this is a contradiction.  $\square$

In stable theories (which we shall not define here), the Baldwin-Saxl lemma is even stronger: every intersection of such a family is really a finite one (see [Poi01, Proposition 1.4]).

The focus of this note is type definable groups in dependent theories, where such a proof does not work.

**Definition 1.4.** A *type definable group* for a theory  $T$  is a type — a collection  $\Sigma(x)$  of formulas (maybe over parameters), and a formula  $\nu(x, y, z)$ , such that in the monster model  $\mathfrak{C}$  of  $T$ ,  $\langle \Sigma(\mathfrak{C}), \nu \rangle$  is a group with  $\nu$  defining the group operation (without loss of generality,  $T \models \forall x y \exists^{< 1} z (\nu(x, y, z))$ ). We shall denote this operation by  $\cdot$ .

In stable theories, their analysis becomes easier as each type definable group is an intersection of definable ones (see [Poi01]).

*Remark 1.5.* In this note we assume that  $G$  is a finitary type definable group, i.e.  $x$  above is a finite tuple.

**Definition 1.6.** Suppose  $G \geq H$  are two type definable groups ( $H$  is a subgroup of  $G$ ). We say that the index  $[G : H]$  is *unbounded*, or  $\infty$ , if for any cardinality  $\kappa$ , there exists a model  $M \models T$ , such that  $[G^M : H^M] \geq \kappa$ . Equivalently (by the Erdős-Rado coloring theorem), this means that there exists (in  $\mathfrak{C}$ ) a sequence of indiscernibles  $\langle a_i \mid i < \omega \rangle$  (over the parameters defining  $G$  and  $H$ ) such that  $a_i \in G$  for all  $i$ , and  $i < j \Rightarrow a_i \cdot a_j^{-1} \notin H$ . In  $\mathfrak{C}$ , this means that  $[G^{\mathfrak{C}} : H^{\mathfrak{C}}] = |\mathfrak{C}|$ . When  $G$  and  $H$  are definable, then by compactness this is equivalent to the index  $[G : H]$  being infinite.

So  $[G : H]$  is *bounded* if it is not unbounded.

This leads to the following definition

**Definition 1.7.** Let  $G$  be a type definable group.

- (1) For a set  $A$ ,  $G_A^{00}$  is the minimal  $A$ -type definable subgroup of  $G$  of bounded index.
- (2) We say that  $G^{00}$  exists if  $G_A^{00} = G_{\emptyset}^{00}$  for all  $A$ .

Shelah proved

**Theorem 1.8.** [She08] If  $G$  is a type definable group in a dependent theory, then  $G^{00}$  exists.

Even though fields are not the main concern of this note, the following question is in the basis of its motivation. Recall

**Theorem 1.9.** [Lan02, Theorem VI.6.4] (*Artin-Schreier*) Let  $k$  be a field of characteristic  $p$ . Let  $\rho$  be the polynomial  $X^p - X$ .

- (1) Given  $a \in k$ , either the polynomial  $\rho - a$  has a root in  $k$ , in which case all its roots are in  $k$ , or it is irreducible. In the latter case, if  $\alpha$  is a root then  $k(\alpha)$  is cyclic of degree  $p$  over  $k$ .
- (2) Conversely, let  $K$  be a cyclic extension of  $k$  of degree  $p$ . Then there exists  $\alpha \in K$  such that  $K = k(\alpha)$  and for some  $a \in k$ ,  $\rho(\alpha) = a$ .

Such extensions are called *Artin-Schreier extensions*.

The first author, in a joint paper with Thomas Scanlon and Frank Wagner, proved

**Theorem 1.10.** [KSW11] Let  $K$  be an infinite dependent field of characteristic  $p > 0$ . Then  $K$  is *Artin-Schreier closed* — i.e.  $\rho$  is onto.

What about the type definable case? What if  $K$  is an infinite type definable field?

In simple theories (which we shall not define), we have:

**Theorem 1.11.** [KSW11] Let  $K$  be a type definable field in a simple theory. Then  $K$  has boundedly many AS extensions.

But for the dependent case we only proved

**Theorem 1.12.** [KSW11] For an infinite type definable field  $K$  in a dependent theory there are either unboundedly many Artin-Schreier extensions, or none.

from these two we conclude

**Corollary 1.13.** If  $T$  is stable (so it is both simple and dependent), then type definable fields are AS closed.

The following, then, is still open

**Question 1.14.** What about the dependent case? In other words, is it true that infinite type definable fields in dependent theories are AS-closed?

Observing the proof of Theorem 1.10, we see that it is enough to find a number  $n$ , and  $n+1$  algebraically independent elements,  $\langle a_i \mid i \leq n \rangle$  in  $k := K^{p^\infty}$ , such that  $\bigcap_{i < n} a_i \rho(K) = \bigcap_{i \leq n} a_i \rho(K)$ . So the Baldwin-Saxl applies in the case where the field  $K$  is definable. If  $K$  is type definable, we may want something similar. But what can we prove?

A conjecture of Frank Wagner is the main motivation question

**Conjecture 1.15.** Suppose  $T$  is dependent, then the following holds

⑤ Suppose  $G$  is a type definable group. Suppose  $p(x, y)$  is a type and  $\langle a_i \mid i < \omega \rangle$  is an indiscernible sequence such that  $G_i = p(x, a_i) \leq G$ . Then there is some  $n$ , such that for all finite sets,  $v \subseteq \omega$ , the intersection  $\bigcap_{i \in v} G_i$  is equal to a sub-intersection of size  $n$ .

Let refer to ⑤ as *Property A* (of a theory  $T$ ) for the rest of the paper. So we have

**Fact 1.16.** *If Property A is true for a theory  $T$ , then type definable fields are Artin-Schreier closed.*

In Section 2, we deal with strongly<sup>2</sup> dependent theories (this is a much stronger condition than merely dependence), and among other things, prove that Property A is true for them.

In Section 3, we give some generalizations and variants of Baldwin-Saxl for type definable groups in dependent and strongly dependent theories (which we define below). One of them is joint work with Frank Wagner. We prove that Property A holds for theories with bounded dp-rank.

In Section 4, we provide a counterexample that shows that property A does not hold in stable theories, so Conjecture 1.15 as it is stated is false.

**Question 1.17.** *Does Property A hold for strongly dependent theories?*

## 2. STRONGLY<sup>2</sup> DEPENDENT THEORIES

*Notation 2.1.* We call an array of elements (or tuples)  $\langle a_{i,j} \mid i, j < \omega \rangle$  an *indiscernible array* over  $A$  if for  $i_0 < \omega$ , the  $i_0$ -row  $\langle a_{i_0,j} \mid j < \omega \rangle$  is indiscernible over the rest of the sequence  $(\{a_{i,j} \mid i \neq i_0, i, j < \omega\})$  and  $A$ , i.e. when the rows are mutually indiscernible.

**Definition 2.2.** A theory  $T$  is said to be not *strongly<sup>2</sup> dependent* if there exists a sequence of formulas  $\langle \varphi_i(x, y_i, z_i) \mid i < \omega \rangle$ , an array  $\langle a_{i,j} \mid i, j < \omega \rangle$  and  $b_k \in \{a_{i,j} \mid i < k, j < \omega\}$  such that

- The array  $\langle a_{i,j} \mid i, j < \omega \rangle$  is an indiscernible array (over  $\emptyset$ ).
- The set  $\{\varphi_i(x, a_{i,0}, b_i) \wedge \neg \varphi_i(x, a_{i,1}, b_i) \mid i < \omega\}$  is consistent.

So  $T$  is *strongly<sup>2</sup> dependent* when this configuration does not exist.

Note that the roles of  $i$  and  $j$  are not symmetric.

(In the definition above,  $x, z_i, y_i$  can be tuples, the length of  $z_i$  and  $y_i$  may depend on  $i$ ).

This definition was introduced and discussed in [Shec] and [Shea].

*Remark 2.3.* By [Shec, Claim 2.8], we may assume in the definition above that  $x$  is a singleton.

**Proposition 2.4.** *Suppose  $T$  is strongly<sup>2</sup> dependent, then it is impossible to have a sequence of type definable groups  $\langle G_i \mid i < \omega \rangle$  such that  $G_{i+1} \leq G_i$  and  $[G_i : G_{i+1}] = \infty$ .*

*Proof.* Without loss of generality, we shall assume that all groups are definable over  $\emptyset$ . Suppose there is such a sequence  $\langle G_i \mid i < \omega \rangle$ . Let  $\langle a_{i,j} \mid i, j < \omega \rangle$  be an indiscernible array such that for each  $i < \omega$ , the sequence  $\langle a_{i,j} \mid j < \omega \rangle$  is a sequence from  $G_i$  (in  $\mathfrak{C}$ ) such that  $a_{i,j}' \cdot a_{i,j} \notin G_{i+1}$

for all  $j < j' < \omega$ . We can find such an array because of our assumption and Ramsey (for more detail, see the proof of Corollary 2.8 below).

For each  $i < \omega$ , let  $\psi_i(x)$  be in the type defining  $G_{i+1}$  such that  $\neg\psi_i(a_{i,j}^{-1} \cdot a_{i,j})$ . By compactness, there is a formula  $\xi_i(x)$  in the type defining  $G_{i+1}$  such that for all  $a, b \in \mathfrak{C}$ , if  $\xi_i(a) \wedge \xi_i(b)$  then  $\psi_i(a \cdot b^{-1})$  holds. Let  $\varphi_i(x, y, z) = \xi_i(y^{-1} \cdot z^{-1} \cdot x)$ . For  $i < \omega$ , let  $b_i = a_{0,0} \cdot \dots \cdot a_{i-1,0}$  (so  $b_0 = 1$ ).

Let us check that the set  $\{\varphi_i(x, a_{i,0}, b_i) \wedge \neg\varphi_i(x, a_{i,1}, b_i) \mid i < \omega\}$  is consistent. Let  $i_0 < \omega$ , and let  $c = b_{i_0}$ . Then for  $i < i_0$ ,  $\varphi_i(c, a_{i,0}, b_i)$  holds iff  $\xi_i(a_{i+1,0} \cdot \dots \cdot a_{i_0-1,0})$  but the product  $a_{i+1,0} \cdot \dots \cdot a_{i_0-1,0}$  is an element of  $G_{i+1}$  and  $\xi_i$  is in the type defining  $G_{i+1}$ , so  $\varphi_i(c, a_{i,0}, b_i)$  holds. Now,  $\varphi_i(c, a_{i,1}, b_i)$  holds iff  $\xi_i(a_{i,1}^{-1} a_{i,0} \cdot \dots \cdot a_{i_0-1,0})$ . However, since  $\xi_i(a_{i+1,0} \cdot \dots \cdot a_{i_0-1,0})$  holds, by choice of  $\xi_i$  we have

$$\psi_i([a_{i,1}^{-1} a_{i,0} \cdot \dots \cdot a_{i_0-1,0}] \cdot [a_{i+1,0} \cdot \dots \cdot a_{i_0-1,0}]^{-1})$$

i.e.  $\psi_i(a_{i,1}^{-1} \cdot a_{i,0})$  holds — contradiction.  $\square$

*Remark 2.5.* It is well known (see [Poi01]) that in superstable theories the same proposition hold.

The next corollary already appeared in [Shec, Claim 0.1] with definable groups instead of type definable (with proof already in [Shea, Claim 3.10]).

**Corollary 2.6.** *Assume  $T$  is strongly<sup>2</sup> dependent. If  $G$  is a type definable group and  $h$  is a definable homomorphism  $h : G \rightarrow G$  with finite kernel then  $h$  is almost onto  $G$ , i.e., the index  $[G : h(G)]$  is bounded (i.e.  $< \infty$ ). If  $G$  is definable, then the index must be finite.*

*Proof.* Consider the sequence of groups  $\langle h^{(i)}(G) \mid i < \omega \rangle$  (i.e.  $G, h(G), h(h(G)),$  etc.). By Proposition 2.4, for some  $i < \omega$ ,  $[h^{(i)}(G) : h^{(i+1)}(G)] < \infty$ . Now the Corollary easily follows from

*Claim.* If  $G$  is a group,  $h : G \rightarrow G$  a homomorphism with finite kernel, then  $[G : h(G)] + \aleph_0 = [h(G) : h(h(G))] + \aleph_0$ .

*Proof.* (of claim) Let  $H = h(G)$ . Easily, one has  $[H : h(H)] \leq [G : H]$ .

We may assume that  $[G : H]$  is infinite. Let  $\ker(h) = \{g_0, \dots, g_{k-1}\}$ . Suppose that  $[G : H] = \kappa$  but  $[H : h(H)] < \kappa$ . So let  $\{a_i \mid i < \kappa\} \subseteq G$  are such that  $a_i^{-1} \cdot a_j \notin h(G)$  for  $i \neq j$ . So there must be some coset  $a \cdot h(H)$  in  $H$  such that for infinitely many  $i < \kappa$ ,  $h(a_i) \in a \cdot h(H)$ . Let us enumerate them as  $\langle a_i \mid i < \omega \rangle$ . So for  $i < j < \omega$ , let  $C(a_i, a_j)$  be the least number  $l < k$  such that there is some  $y \in h(G)$  with  $y^{-1} a_i^{-1} a_j = g_l$ . By Ramsey, we may assume that  $C(a_i, a_j)$  is constant. Now pick  $i_1 < i_2 < j < \omega$ . So we have  $y^{-1} a_{i_1}^{-1} a_j = (y')^{-1} a_{i_2}^{-1} a_j$ , so  $y^{-1} a_{i_1}^{-1} = (y')^{-1} a_{i_2}^{-1}$  and hence  $a_{i_1}^{-1} a_{i_2} = y(y')^{-1} \in h(G)$  — contradiction.

□

**Corollary 2.7.** *If  $K$  is a strongly<sup>2</sup> dependent field, (or even a type definable field in a strongly<sup>2</sup> dependent theory) then for all  $n < \omega$ ,  $[K^\times : (K^\times)^n] < \infty$ .*

**Corollary 2.8.** *Let  $G$  be type definable group in a strongly<sup>2</sup> dependent theory  $T$ .*

- (1) Given a family of uniformly type definable subgroups  $\{p(x, a_i) \mid i < \omega\}$  such that  $\langle a_i \mid i < \omega \rangle$  is an indiscernible sequence, there is some  $n < \omega$  such that  $\bigcap_{j < \omega} p(\mathfrak{C}, a_j) = \bigcap_{j < n} p(\mathfrak{C}, a_j)$ . In particular,  $T$  has Property A.
- (2) Given a family of uniformly definable subgroups  $\{\varphi(x, c) \mid c \in C\}$ , the intersection

$$\bigcap_{c \in C} \varphi(\mathfrak{C}, c)$$

is already a finite one.

*Proof.* (1) Assume without loss of generality that  $G$  is defined over  $\emptyset$ . Let  $G_i = p(\mathfrak{C}, a_i)$ , and let  $H_i = \bigcap_{j < i} G_j$ . By Proposition 2.4, for some  $i_0 < \omega$ ,  $[H_{i_0} : H_{i_0+1}] < \infty$ . For  $r \geq i_0$ , let  $H_{i_0,r} = \bigcap_{j < i_0} G_j \cap G_r$  (so  $H_{i_0+1} = H_{i_0,i_0}$ ). By indiscernibility,  $[H_{i_0} : H_{i_0,r}] < \infty$ . This means (by definition of  $H_{i_0}^{00}$ ) that  $H_{i_0}^{00} \leq H_{i_0,r}$  for all  $r > i_0$ . However, if  $H_{i_0,i_0} \neq H_{i_0,r}$  for some  $i_0 < r < \omega$ , then by indiscernibility  $H_{i_0,r} \neq H_{i_0,r'}$  for all  $i_0 \leq r < r'$ , and by compactness and indiscernibility we may increase the length  $\omega$  of the sequence to any cardinality  $\kappa$ , so that the size of  $H_{i_0}/H_{i_0}^{00}$  is unbounded — contradiction. This means that  $H_{i_0+1} \subseteq G_r$  for all  $r > i_0$ , and so  $\bigcap_{i < \omega} G_i = \bigcap_{i < i_0+1} G_i$ .

(2) Assume not. Then we can find a sequence  $\langle c_i \mid i < \omega \rangle$  of elements of  $C$  such that  $\bigcap_{j < i} \varphi(\mathfrak{C}, c_j) \neq \bigcap_{j < i+1} \varphi(\mathfrak{C}, c_j)$ . By Ramsey, we can extract an indiscernible sequence  $\langle a_i \mid i < \omega \rangle$  such that for any  $n$ , and any formula  $\psi(x_0, \dots, x_{n-1})$ , if  $\psi(a_0, \dots, a_{n-1})$  holds then there are  $i_0 < \dots < i_{n-1}$  such that  $\psi(c_{i_0}, \dots, c_{i_{n-1}})$  holds. In particular,  $\varphi(\mathfrak{C}, a_i)$  defines a subgroup of  $G$  and  $\bigcap_{j < i} \varphi(\mathfrak{C}, a_j) \neq \bigcap_{j < i+1} \varphi(\mathfrak{C}, a_j)$ . But this contradicts (1). □

As further applications, we show that some theories are not strongly<sup>2</sup> dependent.

**Example 2.9.** Suppose  $\langle G, +, < \rangle$  is an ordered abelian group. Then its theory  $\text{Th}(G, +, 0, <)$  is not strongly<sup>2</sup> dependent.

*Proof.* We work in the monster model  $\mathfrak{C}$ . Let  $G_d = \{x \in \mathfrak{C} \mid \forall n < \omega (n \mid x)\}$ , so it is a divisible ordered subgroup of  $G$ . Note that since  $G$  is ordered, it is torsion free, so really it is a  $\mathbb{Q}$ -vector space. Define a descending sequence of infinite type definable groups  $G_d^i \leq G_d$  for  $i < \omega$  such that  $[G_d^i : G_d^{i+1}] = \infty$ . This contradicts Proposition 2.4. Let  $G_d^0 = G_d$ , and suppose we have chosen  $G_d^i$ . Let  $a_i \in G_d^i$  be positive. Let  $G_d^{i+1} = G_d^i \cap \bigcap_{n < \omega} (-a_i/n, a_i/n)$ . This is a type definable subgroup of  $G_d^i$ . The sequence  $\langle k \cdot a_i \mid k < \omega \rangle$  satisfies  $(k-l) \cdot a_i \notin (-a_i/2, a_i/2)$  for any  $k \neq l$ , and by Ramsey (as in the proof of Corollary 2.8 (2)) we get  $[G_d^i : G_d^{i+1}] = \infty$ . □

**Example 2.10.** The theory  $\text{Th}(\mathbb{R}, +, \cdot, 0, 1)$  is strongly dependent (it is even o-minimal, so dp-minimal — see Definitions 3.7 and 3.5 below). However it is not strongly<sup>2</sup> dependent.

**Example 2.11.** The theory  $\text{Th}(\mathbb{Q}_p, +, \cdot, 0, 1)$  of the p-adics is strongly dependent (it is also dp-minimal), but not strongly<sup>2</sup> dependent: The valuation group  $(\mathbb{Z}, +, 0, <)$  is interpretable.

Adding some structure to an algebraically closed field, we can easily get a strongly<sup>2</sup> dependent theory.

**Example 2.12.** Let  $L = L_{\text{rings}} \cup \{P, <\}$  where  $L_{\text{rings}}$  is the language of rings  $\{+, \cdot, 0, 1\}$ ,  $P$  is a unary predicate and  $<$  is a binary relation symbol. Let  $K$  be  $\mathbb{C}$  (so is an algebraically closed field), and let  $P \subseteq K$  be a countable set of algebraically independent elements, enumerated as  $\{a_i \mid i \in \mathbb{Q}\}$ . Let  $M = \langle K, P, < \rangle$  where  $a <^M b$  iff  $a, b \in P$  and  $a = a_i, b = a_j$  where  $i < j$ . Let  $T = \text{Th}(M)$ .

*Claim 2.13.*  $T$  is strongly<sup>2</sup> dependent.

*Proof.* Note that  $T$  is axiomatizable by saying that the universe is an algebraically closed field,  $P$  is a subset of algebraically independent elements and  $<$  is a dense linear order on  $P$  (to see this, take two saturated models of the same size and show that they are isomorphic).

Let us fix some terminology:

- When we write  $\text{acl}$ , we mean the algebraic closure in the field sense. When we say *basis*, we mean a transcendental basis.
- When we say that a set is independent / dependent over  $A$  for some set  $A$ , we mean that it is dependent / independent in the pregeometry induced by  $\text{cl}(X) = \text{acl}(AX)$ .
- $\text{dcl}(X)$  stands for the definable closure of  $X$ .

We work in a saturated model  $\mathfrak{C}$  of  $T$ .

Suppose  $X$  is some set. Let  $X_0$  be some basis for  $X$  over  $P$ , and let  $\text{dcl}^P(X)$  be the set of  $p \in P$  such that there exists some minimal finite  $P_0 \subseteq P$  with  $p \in P_0$  and some  $x \in X$  such that  $x \in \text{acl}(P_0 X_0)$ . Note that this set is contained in  $\text{dcl}(X)$  (since  $P$  is linearly ordered) and that it does not depend on the choice of  $X_0$ .

Suppose  $a$  is a finite tuple, and  $A$  is a set. Let  $A^P = \text{dcl}^P(A)$ .

Let  $\text{tp}_K(a/A)$  the type of  $a \frown (Aa)^P$  (considered as a tuple, ordered by  $<^{\mathfrak{C}}$ ) over  $A \cup A^P$  in the field language, and  $\text{tp}_P(a/A)$  the type of the tuple  $(Aa)^P$  over  $A^P$  in the order language.

*Subclaim.* For finite tuples  $a, b$  and a set  $A$ ,  $\text{tp}(a/A) = \text{tp}(b/A)$  iff  $\text{tp}_P(Aa/A) = \text{tp}_P(Ab/A)$  and  $\text{tp}_K(a/A) = \text{tp}_K(b/A)$ . In fact, in this case, there is an automorphism of the field  $\text{acl}(abAP)$  fixing  $A$  pointwise and  $P$  setwise taking  $a$  to  $b$ . This automorphism is an elementary map.

*Proof.* Given that the  $P$  and  $K$  types are equal, it is easy to construct an automorphism of  $\text{acl}(abAP)$  as above. First we construct an automorphism of  $\langle P, < \rangle$  that takes  $a^P$  to  $b^P$  and

fixes  $A^P$ . We can extend this automorphism to  $A_0P$  where  $A_0$  is a basis of  $A$  over  $P$ . By definition of  $dcl^P$ , we can also extend it to  $\text{acl}(AP)$ , fixing  $A$  pointwise. Let  $a' \subseteq a$  be a basis for  $a$  over  $AP$ , and  $b' \subseteq b$  a basis for  $b$  over  $AP$ . By definition of  $dcl^P$ ,  $|a'| = |b'|$ . This means we can extend this automorphism to  $\text{acl}(aAP)$ , taking it to  $\text{acl}(bAP)$ . Next extend this to an automorphism of  $\text{acl}(abAP)$  (possible since both  $a$  and  $b$  are finite). Now we can extend this to an automorphism of  $\mathfrak{C}$  since it is algebraically closed. Note that if  $c \notin \text{acl}(abAP)$ , we can choose this automorphism to fix  $c$ .  $\square$

Suppose that  $\langle a_{i,j} \mid i, j < \omega \rangle$  is an indiscernible array over a parameter set  $A$  as in Definition 2.2 and that  $c$  is a singleton such that:

- The sequence  $I_0 := \langle a_{0,j} \mid j < \omega \rangle$  is not indiscernible over  $c$ , and moreover  $\text{tp}(a_{0,0}/c) \neq \text{tp}(a_{0,1}/c)$ .
- For  $i > 0$ , the sequence  $I_i := \langle a_{i,j} \mid j < \omega \rangle$  is not indiscernible over  $c \cup \bigcup_{k < i} I_k \cup A$ .

Suppose first that  $c \notin \text{acl}(APa_{0,0}a_{0,1})$ . Then, by the proof of the first subclaim, we get a contradiction, since there is an automorphism fixing  $cA$  pointwise and  $P$  setwise taking  $a_{0,0}$  to  $a_{0,1}$ . So  $c \in \text{acl}(APa_{0,0}a_{0,1})$ . Increase the parameter set  $A$  by adding the first row  $\langle a_{0,j} \mid j < \omega \rangle$ . So we may assume that  $c \in \text{acl}(AP)$ . Choose a basis  $A_0 \subseteq A$  over  $P$ , and let  $c^P \subseteq P$  the unique minimal tuple of elements such that  $c \in \text{acl}(A_0c^P)$ . Since  $c \in \text{acl}(Ac^P)$ , we may replace  $c$  by  $c^P$  and assume that  $c$  is a tuple of elements in  $P$  (here we use the fact that if  $I$  is indiscernible over  $Ac^P$  then it is also indiscernible over  $\text{acl}(Ac^P)$ ).

Expand all the sequences to order type  $\omega^* + \omega + \omega$ . Let  $B = \bigcup \{a_{i,j} \mid i < \omega, j < 0 \vee \omega \leq j\} \cup A$ . For each  $i < \omega$  and  $0 \leq j < \omega$ , let  $a_{i,j}^P$  be  $dcl^P(a_{i,j}B)$  considered as a tuple ordered by  $<^\mathfrak{C}$ , and let  $B^P = dcl^P(B)$ . Then  $\langle a_{i,j}^P \mid i, j < \omega \rangle$  is an indiscernible array over  $B^P$  and  $\langle a_{i,j} \frown a_{i,j}^P \mid i, j < \omega \rangle$  is an indiscernible array over  $B \cup B^P$ .

As both the theories of dense linear orders and algebraically closed fields are strongly<sup>2</sup> dependent (this is easy to check), there is some  $i_0$  such that  $\langle a_{i_0,j}^P \mid j < \omega \rangle$  is indiscernible over  $cB^P \cup \{a_{i,j}^P \mid i < i_0, j < \omega\}$  in the order language and  $\langle a_{i_0,j} \frown a_{i_0,j}^P \mid j < \omega \rangle$  is indiscernible over  $cB \cup B^P \cup \{a_{i,j} \frown a_{i,j}^P \mid i < i_0, j < \omega\}$  in the field language.

Let  $C = \bigcup \{a_{i,j} \mid i < i_0, j < \omega\}$ . We must check that  $\langle a_{i_0,j} \mid j < \omega \rangle$  is indiscernible over  $BCc$ . Let us show, for instance, that  $\text{tp}(a_{i_0,0}/BCc) = \text{tp}(a_{i_0,1}/BCc)$ . For this we apply the subclaim. We claim that  $dcl^P(BCc) = \bigcup \{a_{i,j}^P \mid i < i_0, j < \omega\} \cup B^P \cup c$ . Why? Choose some basis  $D$  for  $BC$  over  $P$  such that  $D$  contains a basis for  $B$  over  $P$ . If some element  $x$  in  $C$  is in  $\text{acl}(DP)$ , then by indiscernibility,  $x \in \text{acl}((a_{i,j} \cap D) \cup BP)$  for some  $i, j$ , which means that  $x \in \text{acl}(P \cup ((a_{i,j}B) \cap D))$ , so the tuple from  $P$  that witnesses this is already in  $a_{i,j}^P$ . Similarly,  $dcl^P(a_{i_0,j}BCc) = a_{i_0,j}^P \cup dcl^P(BC) \cup c$ . By the subclaim above, we are done.  $\square$

*Remark 2.14.* With the same proof, one can show that if  $T$  is strongly minimal, and  $P = \{a_i \mid i < \omega\}$  is an infinite indiscernible set in  $M \models T$  of cardinality  $\aleph_1$ , the theory of the structure  $\langle M, P, < \rangle$  where  $<$  is some dense linear order with no end points on  $P$ , is strongly<sup>2</sup> dependent.

We finish this section with the following conjecture:

**Conjecture 2.15.** *All strongly<sup>2</sup> dependent groups are stable, i.e. if  $G$  is a group such that  $\text{Th}(G, \cdot)$  is strongly<sup>2</sup> dependent, then it is stable.*

Example 2.9 and Corollary 2.8 show that this might be reasonable. This is related to the conjecture of Shelah in [Shec] that all strongly<sup>2</sup> dependent infinite fields are algebraically closed.

### 3. BALDWIN-SAXL TYPE LEMMAS

The next lemma is the type definable version of the Baldwin-Saxl Lemma (see Lemma 1.3). But first,

*Notation 3.1.* If  $p(x, y)$  is a partial type, then  $|p|$  is the size of the set of formulas  $\varphi(x, z_1, \dots, z_n)$  (where  $z_i$  is a singleton) such that for some finite tuple  $y_1, \dots, y_n \in y$ ,  $\varphi(x, y_1, \dots, y_n) \in p$ . In this sense, the size of any type is bounded by  $|T|$ .

**Lemma 3.2.** *Suppose  $G$  is a type definable group in a dependent theory  $T$ .*

- (1) *If  $p_i(x, y_i)$  is a type of for  $i < \kappa$  ( $y_i$  may be an infinite tuple),  $|\bigcup p_i| < \kappa$ , and  $\langle c_i \mid i < \kappa \rangle$  is a sequence of tuples such that  $p_i(\mathfrak{C}, c_i)$  is a subgroup of  $G$ , then for some  $i_0 < \kappa$ ,  $\bigcap_{i < \kappa} p_i(\mathfrak{C}, c_i) = \bigcap_{i < \kappa, i \neq i_0} p_i(\mathfrak{C}, c_i)$ .*
- (2) *In particular, Given a family of uniformly type definable subgroups, defined by  $p(x, y)$ , and  $C$  of size  $|p|^+$ , there is some  $c_0 \in C$  such that  $\bigcap_{c \neq c_0} p(\mathfrak{C}, c) = \bigcap_{c \in C} p(\mathfrak{C}, c)$ .*
- (3) *In particular, if  $\{G_i \mid i < |T|^+\}$  is a family of type definable subgroups (defined with parameters), then there is some  $i_0 < |T|^+$  such that  $\bigcap G_i = \bigcap_{i \neq i_0} G_i$ .*

*Proof.* (1) Denote  $H_i = p_i(\mathfrak{C}, c_i)$ . Suppose not, i.e. for all  $i < \kappa$ , there is some  $g_i$  such that  $g_i \in H_j$  iff  $i \neq j$ . If  $d_1, d_2 \in H_i$  then  $d_1 \cdot g_i \cdot d_2 \notin H_i$ . Hence by compactness there is some formula  $\varphi_i$ ,  $\varphi_i(x, c_i) \in p_i(x, c_i)$  such that for all such  $d_1, d_2 \in H_i$ ,  $\neg\varphi_i(d_1 g_i d_2, c_i)$  holds. Since  $|\bigcup p_i| < \kappa$ , we may assume that for  $i < \omega$ ,  $\varphi_i$  is constant and equals  $\varphi(x, y)$ . Now for any finite subset  $s \subseteq \omega$ , let  $g_s = \prod_{i \in s} g_i$  (the order does not matter). So we have  $\varphi(g_s, c_i)$  iff  $i \notin s$  — a contradiction.

(2) and (3) now follow easily from (1). □

In (2) of Lemma 3.2, if  $C$  is an indiscernible sequence, then the situation is simpler:

**Corollary 3.3.** Suppose  $G$  is a type definable group in a dependent theory  $T$ . Given a family of uniformly type definable subgroups, defined by  $p(x, y)$ , and an indiscernible sequence  $C = \langle a_i \mid i \in \mathbb{Z} \rangle$ ,  $\bigcap_{i \neq 0} p(C, a_i) = \bigcap_{i \in \mathbb{Z}} p(C, a_i)$ .

*Proof.* Assume not. By indiscernibility, we get that for all  $i \in \mathbb{Z}$ ,  $\bigcap_{j \neq i} p(C, a_j) = p(C, a_i)$ . Let  $I$  be an indiscernible sequence which extends  $C$  to length  $|p|^+$ . Then by indiscernibility and compactness the same is true for this sequence. This contradicts Lemma 3.2.  $\square$

*Remark 3.4.* In the proof that  $G^{00}$  exists in dependent theories, the above corollary is in the kernel of the proof.

If  $T$  is strongly dependent, and  $C$  is indiscernible, we can even assume that the order type is  $\omega$ . Let us recall,

**Definition 3.5.** A theory  $T$  is said to be *not strongly dependent* if there exists a sequence of formulas  $\langle \varphi_i(x, y_i) \mid i < \omega \rangle$  and an array  $\langle a_{i,j} \mid i, j < \omega \rangle$  such that

- The array  $\langle a_{i,j} \mid i, j < \omega \rangle$  is an indiscernible array (over  $\emptyset$ ).
- The set  $\{\varphi_i(x, a_{i,0}) \wedge \neg \varphi_i(x, a_{i,1}) \mid i < \omega\}$  is consistent.

So  $T$  is *strongly dependent* when this configuration does not exist.

**Lemma 3.6.** Suppose  $G$  is a type definable group in a strongly dependent theory  $T$ . Given a family of type definable subgroups  $\{p_i(x, a_i) \mid i < \omega\}$  such that  $\langle a_i \mid i < \omega \rangle$  is an indiscernible sequence and  $p_{2i} = p_{2i+1}$  for all  $i < \omega$ , there is some  $i < \omega$  such that  $\bigcap_{j \neq i} p_j(C, a_j) = \bigcap_{j < \omega} p_j(C, a_j)$ .

In particular, this is true when  $p$  is constant.

*Proof.* Denote  $H_i = p_i(C, a_i)$ . Assume not, i.e. for all  $i < \omega$ , there exists some  $g_i \in G$  such that  $g_i \in H_j$  iff  $i \neq j$ . For each even  $i < \omega$  we find a formula  $\varphi_i(x, y) \in p_i(x, y)$  such that for all  $d_1, d_2 \in H_i$ ,  $\neg \varphi_i(d_1 g_i d_2, a_i)$ . Let  $n < \omega$ , and consider the product  $g_n = \prod_{i < n, 2 \mid i} g_i$  (the order does not matter). Then for odd  $i < n$ ,  $\varphi_{i-1}(g_n, a_i)$  holds (because  $\varphi_{i-1} \in p_{i-1} = p_i$  by assumption), and for even  $i < n$ ,  $\neg \varphi_i(g_n, a_i)$  holds. By compactness, we can find  $g \in G$  such that  $\varphi_{i-1}(g_n, a_i)$  holds for all odd  $i < \omega$  and  $\neg \varphi_i(g, a_i)$  for all even  $i < \omega$ . Now expand the sequence by adding a sequence  $\langle b_{i,j} \mid j < \omega \rangle$  after each pair  $a_{2i}, a_{2i+1}$ . Then the array defined by  $a_{i,0} = a_{2i}$ ,  $a_{i,1} = a_{2i+1}$  and  $a_{i,j} = b_{i,j-2}$  for  $j \geq 2$  will show that the theory is not strongly dependent.  $\square$

If the theory is of bounded dp-rank, then we can say even more.

**Definition 3.7.** A theory  $T$  is said to have *bounded dp-rank*, if there is some  $n < \omega$  such that the following configuration does *not* exist: a sequence of formulas  $\langle \varphi_i(x, y_i) \mid i < n \rangle$  where  $x$  is a singleton and an array  $\langle a_{i,j} \mid i < n, j < \omega \rangle$  such that

- The array  $\langle a_{i,j} \mid i < n, j < \omega \rangle$  is an indiscernible array (over  $\emptyset$ ).
- The set  $\{\varphi_i(x, a_{i,0}) \wedge \neg \varphi_i(x, a_{i,1}) \mid i < n\}$  is consistent.

$T$  is *dp-minimal* if  $n = 2$ .

Note that if  $T$  has bounded dp-rank, then it is strongly dependent.

*Remark 3.8.* All dp-minimal theories are of bounded dp-rank. This includes all o-minimal theories and the p-adics.

The name is justified by the following fact:

**Fact 3.9.** [UOK] *If  $T$  has bounded dp-rank, then for any  $m < \omega$ , there is some  $n_m < \omega$  such that a configuration as in Definition 3.7 with  $n_m$  replacing  $n$  is impossible for a tuple  $x$  of length  $m$  (in fact  $n_m \leq m \cdot n_1$ ).*

**Lemma 3.10.** *Let  $G$  be type definable group in a bounded dp-rank theory  $T$ .*

*Given a family of type definable subgroups  $\{p_i(x, a_i) \mid i < \omega\}$  such that  $\langle a_i \mid i < \omega \rangle$  is an indiscernible sequence and  $p_{2i} = p_{2i+1}$  for all  $i < \omega$ , there is some  $n < \omega$  and  $i < n$  such that  $\bigcap_{j \neq i, j < n} p_j(\mathcal{C}, a_j) = \bigcap_{j < n} p_j(\mathcal{C}, a_j)$ .*

*In particular, if  $p_i$  is constant (say  $p$ ) and  $\langle a_i \mid i < \omega \rangle$  is an indiscernible set, then  $\bigcap_{i < \omega} p(\mathcal{C}, a_i) = \bigcap_{i < n} p(\mathcal{C}, a_i)$ .*

*In particular,  $T$  has Property A.*

*Proof.* The proof is exactly the same as the proof of Lemma 3.6, but we only need to construct  $g_n$  for  $n$  large enough.  $\square$

Another similar proposition:

**Proposition 3.11.** *Assume  $T$  is strongly dependent,  $G$  a type definable group and  $G_i \leq G$  are type definable normal subgroups for  $i < \omega$ . Then there is some  $i_0$  such that  $[\bigcap_{i \neq i_0} G_i : \bigcap_{i < \omega} G_i] < \infty$ .*

*Proof.* Assume not. Then, for each  $i < \omega$ , we have an indiscernible sequence  $\langle a_{i,j} \mid j < \omega \rangle$  (over the parameters defining all the groups) such that  $a_{i,j} \in \bigcap_{k \neq i} G_k$  and for  $j_1 < j_2 < \omega$ ,  $a_{i,j_1}^{-1} \cdot a_{i,j_2} \notin G_i$ . Note that if  $d_1, d_2, d_3 \in G_i$ , then  $d_1 \cdot a_{i,j_1}^{-1} \cdot d_2 \cdot a_{i,j_2} \cdot d_3 \notin G_i$ , since  $G_i$  is normal. By compactness there is a formula  $\psi_i(x)$  in the type defining  $G_i$  such that for all  $d_1, d_2, d_3 \in G_i$ ,  $\neg \psi_i(d_1 \cdot a_{i,j_1}^{-1} \cdot d_2 \cdot a_{i,j_2} \cdot d_3)$  holds (by indiscernibility it is the same for all  $j_1 < j_2$ ). We may assume, applying Ramsey, that the array  $\langle a_{i,j} \mid i, j < \omega \rangle$  is indiscernible (i.e. the sequences are mutually indiscernible). Let  $\varphi_i(x, y) = \psi_i(x^{-1} \cdot y)$ .

Now we check that the set  $\{\varphi_i(x, a_{i,0}) \wedge \neg \varphi_i(x, a_{i,1}) \mid i < n\}$  is consistent for each  $n < \omega$ . Let  $c = a_{0,0} \cdot \dots \cdot a_{n-1,0}$  (the order does not really matter, but for the proof it is easier to fix one). So  $\varphi_i(c, a_{i,0})$  holds iff  $\psi_i(a_{n-1,0}^{-1} \cdot \dots \cdot a_{i,0}^{-1} \cdot \dots \cdot a_{0,0}^{-1} \cdot a_{i,0})$  holds. But since  $G_i$  is normal,

$a_{i,0}^{-1} \cdot \dots \cdot a_{0,0}^{-1} \cdot a_{i,0} \in G_i$ , so the entire product is in  $G_i$ , so  $\varphi_i(c, a_{i,0})$  holds. On the other hand,  $\psi_i(a_{n-1,0}^{-1} \cdot \dots \cdot a_{i,0}^{-1} \cdot \dots \cdot a_{0,0}^{-1} \cdot a_{i,1})$  does not hold by choice of  $\psi_i$ .  $\square$

The following Corollary is a weaker version of Corollary 2.7:

**Corollary 3.12.** *If  $G$  is an abelian definable group in a strongly dependent theory and  $S \subseteq \omega$  is an infinite set of pairwise co-prime numbers, then for almost all (i.e. for all but finitely many)  $n \in S$ ,  $[G : G^n] < \infty$ . In particular, if  $K$  is a definable field in a strongly dependent theory, then for almost all primes  $p$ ,  $[K^\times : (K^\times)^p] < \infty$ .*

*Proof.* Let  $K \subseteq S$  be the set of  $n \in S$  such that  $[G : G^n] < \infty$ . If  $S \setminus K$  is infinite, we replace  $S$  with  $S \setminus K$ .

For  $i \in S$ , let  $G_i = G^i$  (so it is definable). By Proposition 3.11, there is some  $n$  such that  $[\bigcap_{i \neq n} G_i : \bigcap_{i \in S} G_i] < \infty$ . If  $[G : G_n] = \infty$ , then there is an indiscernible sequence  $\langle a_i \mid i < \omega \rangle$  of elements of  $G$ , such that  $a_i^{-1} \cdot a_j \notin G_n$ . Suppose  $S_0 \subseteq S \setminus \{n\}$  is a finite subset and let  $r = \prod S_0$ . Then  $\langle a_i^r \mid i < \omega \rangle$  is an indiscernible sequence in  $G^r \subseteq \bigcap_{i \in S_0} G_i$  such that  $a_i^{-r} \cdot a_j^r \notin G_n$ . So by compactness, we can find such a sequence in  $\bigcap_{i \neq n} G_i$  — contradiction.  $\square$

*Remark 3.13.* The above Proposition and Corollary can be generalized (with almost the same proofs) to the case where the theory is only *strong*. For the definition, see [Adl].

*Remark 3.14.* This Corollary generalizes in some sense [KP, Proposition 2.1] (as they only assumed finite weight of the generic type). And so, as in [KP, Corollary 2.2], we can conclude that if  $K$  is a field definable in a strongly stable theory (i.e. the theory is strongly dependent and stable), then  $K^p = K$  for almost all primes  $p$ .

**Problem 3.15.** Is Proposition 3.11 is still true without the assumption that the groups are normal?

Note that in strongly dependent<sup>2</sup> theories, this assumption is not needed: Let  $H_i = \bigcap_{j < i} G_j$ . Then  $[H_i : H_{i+1}] < \infty$  for all  $i$  big enough by Proposition 2.4. But this implies  $[\bigcap_{j \neq i} G_j : \bigcap_j G_j] < \infty$ .

### $\kappa$ -intersection.

This part is joint work with Frank Wagner.

**Definition 3.16.** For a cardinal  $\kappa$  and a family  $\mathfrak{F}$  of subgroups of a group  $G$ , the  $\kappa$  intersection  $\bigcap_\kappa \mathfrak{F}$  is  $\{g \in G \mid |\{F \in \mathfrak{F} \mid g \notin F\}| < \kappa\}$ .

**Proposition 3.17.** *Let  $G$  be a type definable group in a dependent theory. Suppose*

- $\mathfrak{F}$  is a family of uniformly type definable subgroups defined by  $p(x, y)$ .

Then for any regular cardinal  $\kappa > |\mathbb{P}|$  (in the sense of Notation 3.1), and any subfamily  $\mathfrak{G} \subseteq \mathfrak{F}$ , there is some  $\mathfrak{G}' \subseteq \mathfrak{G}$  such that

$$\star \quad |\mathfrak{G}'| < \kappa \text{ and } \bigcap \mathfrak{G} \text{ is } \bigcap \mathfrak{G}' \cap \bigcap_{\kappa} \mathfrak{G}.$$

*Proof.* Let  $\kappa$  be such a cardinal. Assume that there is some family  $\mathfrak{G} = \{H_i \mid i < \kappa\}$ , which is a counterexample of the proposition. For  $g \in G$ , let  $J_g = \{i < \kappa \mid g \in H_i\}$ . So  $g \in \bigcap_{\kappa} \mathfrak{G}$  iff  $|\kappa \setminus J_g| < \kappa$ .

For  $i < \kappa$  we define by induction  $g_i \in \bigcap_{\kappa} \mathfrak{G}$ ,  $I_i \subseteq \kappa$ ,  $R_i \subseteq \kappa$  and  $\alpha_i < \kappa$  such that

- (1)  $R_0 = [0, \alpha_0]$  and for  $0 < i$ ,  $R_i = \bigcup_{j < i} R_j \cup \left[ [\sup_{j < i} \alpha_j, \alpha_i) \cap \bigcap_{j < i} I_j \right]$  (so  $R_i \subseteq \alpha_i$ )
- (2)  $\bigcap_{j \leq i} J_{g_j} \subseteq R_i \cup I_i$  (so by the definition of  $\bigcap_{\kappa}$ , and by the regularity of  $\kappa$ ,  $|\kappa \setminus (R_i \cup I_i)| < \kappa$ )
- (3)  $\bigcap_{\kappa} \mathfrak{G} \cap \bigcap_{j < i} H_{\alpha_j} \subseteq \bigcap_{\alpha \in R_i} H_{\alpha}$
- (4)  $I_i \cap [0, \alpha_i] = \emptyset$
- (5)  $I_i$  is  $\subseteq$ -decreasing
- (6)  $\alpha_i$  is  $<$ -increasing
- (7)  $I_i \subseteq J_{g_i}$
- (8) For  $j < i$ ,  $g_i \in H_{\alpha_j}$ ,  $g_j \in H_{\alpha_i}$  and  $g_i \notin H_{\alpha_i}$

Let  $\alpha_0 < \kappa$  be minimal such that there is some  $g_0 \in \bigcap_{\kappa} \mathfrak{G} \setminus H_{\alpha_0}$  (it must exist, otherwise  $\bigcap_{\kappa} \mathfrak{G} = \bigcap \mathfrak{G}$ ). Let  $I_0 = \{j > \alpha_0 \mid g_{\alpha_0} \in H_j\}$ .

For  $\alpha_0$ , (2), (3) and (4) are true, by the definition of  $\bigcap_{\kappa}$  and the choice of  $\alpha_0$ .

Suppose we have chosen  $g_j$ ,  $I_j$  and  $\alpha_j$  (so  $R_j$  is already defined by (1)) for  $j < i$ .

Let  $J = \bigcap_{j < i} I_j$ . Choose  $g_i \in \left( \bigcap_{\kappa} \mathfrak{G} \cap \bigcap_{j < i} H_{\alpha_j} \right) \setminus H_{\alpha_i}$  where  $\alpha_i \in J$  is the smallest possible such that this set is nonempty. Suppose for contradiction that we cannot find such  $\alpha_i$ , then  $\bigcap_{\kappa} \mathfrak{G} \cap \bigcap_{j < i} H_{\alpha_j} \subseteq \bigcap_{\alpha \in J} H_{\alpha}$ , so

$$\bigcap_{\kappa} \mathfrak{G} \cap \bigcap_{j < i} H_{\alpha_j} \cap \bigcap_{j \in \kappa \setminus J} H_j = \bigcap \mathfrak{G}.$$

Let  $J' = J \cup \bigcup_{j < i} R_j$ , then by (3),  $\bigcap \mathfrak{G}$  equals

$$\bigcap_{\kappa} \mathfrak{G} \cap \bigcap_{j < i} H_{\alpha_j} \cap \bigcap_{j \in \kappa \setminus J'} H_j.$$

Note that  $\bigcap_{j < i} (R_j \cup I_j) \subseteq J'$ , so by regularity of  $\kappa$ , and by (2),  $|\kappa \setminus J'| < \kappa$ , so we get a contradiction.

Let  $I_i = \{\alpha_i < j \in J \mid g_i \in H_j\}$ , and let us check the conditions above.

Conditions (4) – (7) are easy.

Condition (2): By induction we have

$$\bigcap_{j \leq i} J_{g_j} = \bigcap_{j < i} J_{g_j} \cap J_{g_i} \subseteq J' \cap J_{g_i} \subseteq R_i \cup (J \cap J_{g_i})$$

But by (4) and the definition of  $R_i$ , letting  $\alpha = \sup_{j < i} \alpha_j$ , we have

$$J \cap J_{g_i} \subseteq \left[ [\alpha, \alpha_i) \cap \bigcap_{j < i} I_j \right] \cup I_i \subseteq R_i \cup I_i$$

Condition (3) is true by the minimality of  $\alpha_i$ :  $\bigcap_{\kappa} \mathfrak{G} \cap \bigcap_{j < i} H_{\alpha_j} \subseteq \bigcap_{\beta \in J \cap [\alpha, \alpha_i)} H_{\beta}$ , so by the induction hypothesis, we are done.

Condition (8): We show that  $g_j \in H_{\alpha_i}$  for  $j < i$ . We have that  $\alpha_i \in J$  so also in  $I_j$  which, by (7) is a subset of  $J_{g_j}$ , so  $g_j \in H_{\alpha_i}$ .

Finally, we have that for each  $i, j < \kappa$ ,  $g_i \in H_{\alpha_j}$  iff  $i \neq j$ . But by Lemma 3.2, there is some  $i_0 < |p|^+$  such that  $\bigcap_{i \neq i_0} H_{\alpha_i} = \bigcap_{i < |p|^+} H_{\alpha_i}$  — contradiction.  $\square$

#### 4. A COUNTEREXAMPLE

In this section we shall present an example that shows that Property A does not hold in general dependent (or even stable) theories.

Let  $S = \{u \subseteq \omega \mid |u| < \omega\}$ , and  $V = \{f : S \rightarrow 2 \mid |\text{supp}(f)| < \infty\}$  where  $\text{supp}(f) = \{x \in S \mid f(x) \neq 0\}$ . This has a natural group structure as a vector space over  $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$ .

For  $n, m < \omega$ , define the following groups:

- $G_n = \{f \in V \mid u \in \text{supp}(f) \Rightarrow |u| = n\}$
- $G_{\omega} = \prod_n G_n$
- $G_{n,m} = \{f \in V \mid u \in \text{supp}(f) \Rightarrow |u| = n \& m \in u\}$  (so  $G_{0,m} = 0$ )
- $H_{n,m} = \{\eta \in G_{\omega} \mid \eta(n) \in G_{n,m}\}$

Now we construct the model:

Let  $L$  be the language (vocabulary)  $\{P, Q\} \cup \{R_n \mid n < \omega\} \cup L_{AG}$  where  $L_{AG}$  is the language of abelian groups,  $\{0, +\}$ ;  $P$  and  $Q$  are unary predicates; and  $R_n$  is binary. Let  $M$  be the following  $L$ -structure:  $P^M = G_{\omega}$  (with the group structure),  $Q^M = \omega$  and  $R_n = \{(\eta, m) \mid \eta \in H_{n,m}\}$ . Let  $T = \text{Th}(M)$ .

Let  $p(x, y)$  be the type  $\bigcup\{R_n(x, y) \mid n < \omega\}$ . Note that since  $H_{n,m}$  is a subgroup of  $G_{\omega}$ , for each  $m < \omega$ ,  $p(M, m)$  is a subgroup of  $G_{\omega}$ .

*Claim 4.1.* Let  $N \models T$  be  $\aleph_1$ -saturated. For any  $m$ , and any distinct  $\alpha_0, \dots, \alpha_m \in P^N$ ,  $\bigcap_{i \leq m} p(N, \alpha_i)$  is different than any sub-intersection of size  $m$ .

*Proof.* We show that  $\bigcap_{i \leq m} p(N, \alpha_i) \subsetneq \bigcap_{i < m} p(N, \alpha_i)$  (the general case is similar). More specifically, we show that

$$\bigcap_{i < m} p(N, \alpha_i) \setminus \bigcap_{i \leq m} R_m(N, \alpha_i) \neq \emptyset.$$

By saturation, it is enough to show that this is the case in  $M$ , so we assume  $M = N$ . Note that if  $\eta \in \bigcap_{i \leq m} R_m(M, \alpha_i)$ , then  $\eta \in H_{m, \alpha_i}$  for all  $i \leq m$ . So for all  $i \leq m$ ,  $u \in \text{supp}(\eta(n)) \Rightarrow |u| = m \& \alpha_i \in u$ . This implies that  $\text{supp}(\eta(m)) = \emptyset$ , i.e.  $\eta(m) = 0$ . But we can find  $\eta \in \bigcap_{i < m} p(M, \alpha_i)$  such that  $\eta(m) \neq 0$ , for instance let  $\eta(n) = 0$  for all  $n \neq m$  while  $|\text{supp}(\eta(m))| = 1$  and  $\eta(m)(\{\alpha_0, \dots, \alpha_{m-1}\}) = 1$ .  $\square$

Next we shall show that  $T$  is stable. For this we will use  $\kappa$  resplendent models. This is a very useful (though not a very well known) tool for proving that theories are stable, and we take the opportunity to promote it.

**Definition 4.2.** Let  $\kappa$  be a cardinal. A model  $M$  is called  $\kappa$ -resplendent if whenever

- $M \prec N$ ;  $N'$  is an expansion of  $N$  by less than  $\kappa$  many symbols;  $\bar{c}$  is a tuple of elements from  $M$  and  $\lg(\bar{c}) < \kappa$

There exists an expansion  $M'$  of  $M$  to the language of  $N'$  such that  $\langle M', \bar{c} \rangle \equiv \langle N', \bar{c} \rangle$ .

The following remarks are not crucial for the rest of the proof.

*Remark 4.3.* [Sheb]

- (1) If  $\kappa$  is regular and  $\kappa > |T|$ , and  $\lambda = \lambda^{<\kappa}$ , then  $T$  has a  $\kappa$ -resplendent model of size  $\lambda$ .
- (2) A  $\kappa$  resplendent model is also  $\kappa$ -saturated.
- (3) If  $M$  is  $\kappa$  resplendent then  $M^{\text{eq}}$  is also such.

The following is a useful observation:

*Claim 4.4.* If  $M$  is  $\kappa$ -resplendent for some  $\kappa$ , and  $A \subseteq M$  is definable and infinite, then  $|A| = |M|$ .

*Proof.* Enrich the language with a function symbol  $f$ . Let  $T' = T \cup \{f : M \rightarrow A \text{ is injective}\}$ . Then  $T'$  is consistent with an elementary extension of  $M$  (for example, take an extension  $N$  of  $M$  where  $|A| = |M|$ , and then take an elementary substructure  $N' \prec N$  of size  $|M|$  containing  $M$  and  $A^N$ ). Hence we can expand  $M$  to a model of  $T'$ .  $\square$

The main fact is

**Theorem 4.5.** [Sheb, Main Lemma 1.9] *Assume  $\kappa$  is regular and  $\lambda = \lambda^\kappa + 2^{|T|}$ . Then, if  $T$  is unstable then  $T$  has  $> \lambda$  pairwise nonisomorphic  $\kappa$ -resplendent models of size  $\lambda^1$ . On the other hand, if  $T$  is stable and  $\kappa \geq \kappa(T) + \aleph_1$  then every  $\kappa$ -resplendent model is saturated.*

**Proposition 4.6.**  $T$  is stable.

*Proof.* We may restrict  $T$  to a finite sub-language,  $L_n = \{P, Q, \} \cup \{R_i \mid i < n\} \cup L_{AG}$ .

Our strategy is to prove that our theory has a unique model in size  $\lambda$  which is  $\kappa$  resplendent where  $\kappa = \aleph_0$ ,  $\lambda = 2^{\aleph_0}$ . Let  $N_0, N_1$  be two  $\kappa$ -resplendent models of size  $\lambda$ .

By Claim 4.4,  $|Q^{N_0}| = |Q^{N_1}| = \lambda$  and we may assume that  $Q^{N_0} = Q^{N_1} = \lambda$ .

Let  $G_0 = P^{N_0}$  and  $G_1 = P^{N_1}$  with the group structure. For  $i < n$ ,  $j < 2$  and  $\alpha < \lambda$ , let  $H_{i,\alpha}^j = \{x \in G_j \mid R_i^{N_j}(x, \alpha)\}$ . This is a definable subgroup of  $G_j$ . For  $k \leq n$ , let  $G_j^k = \bigcap_{\alpha < \lambda, i < n} H_{i,\alpha}^j$ . In our original model  $M$ , this group is  $\{\eta \in G_\omega \mid \forall i \neq k, i < n (\eta(i) = 0)\}$ .

<sup>1</sup>In fact, by [Sheb, Claim 3.1], if  $T$  is unstable there are  $2^\lambda$  such models.

Note that  $G_j = \sum_{k < n} G_j^k$ , and that  $G_j^{k_0} \cap \sum_{k < n, k \neq k_0} G_j^k = G_j^n$  (this is true in our original model  $M$ , so it is part of the theory). We give each  $G_j^k$  the induced  $L$ -structure  $N_j^k = \langle G_j^k, \lambda \rangle$ , i.e. we interpret  $R_i^{N_j^k} = R_i \cap (G_j^k \times \lambda)$ .

Since these groups are definable and infinite, their cardinality is  $\lambda$ , and hence their dimension (over  $\mathbb{F}_2$ ) is  $\lambda$ . In particular there is a group isomorphism  $f_n : G_0^n \rightarrow G_1^n$ . Note that  $f_n$  is an isomorphism of the induced structure on  $N_j^n = \langle G_j^n, \lambda \rangle$ .

*Claim.* For  $k < n$ , there is an isomorphism  $f_k : G_0^k \rightarrow G_1^k$  which is an isomorphism of the induced structure  $N_j^k = \langle G_j^k, \lambda \rangle$  and extends  $f_n$ .

Assuming this claim, we shall finish the proof. Define  $f : G_0 \rightarrow G_1$  by: given  $x \in G_0$ , write it as a sum  $\sum_{k < n} x_k$  where  $x_k \in G_0^k$ , and define  $f(x) = \sum_{k < n} f(x_k)$ . This is well defined because if  $\sum_{k < n} x_k = \sum_{k < n} x'_k$  then  $\sum_{k < n} (x_k - x'_k) = 0$  so for all  $k < n$ ,  $x_k - x'_k \in G_0^n$ , so

$$\begin{aligned} \sum_{k < n} (f(x_k) - f(x'_k)) &= \sum_{k < n} (f(x_k - x'_k)) = \sum_{k < n} (f_n(x_k - x'_k)) = \\ &= f_n \left( \sum_{k < n} x_k - x'_k \right) = f_n(0) = 0. \end{aligned}$$

It is easy to check similarly that  $f$  is a group isomorphism. Also,  $f$  is an  $L_n$ -isomorphism because if  $R_i^{N_0}(\alpha, \alpha)$  for some  $i < n$ ,  $\alpha < \lambda$  and  $\alpha \in G_0$ , then write  $\alpha = \sum_{k < n} \alpha_k$  where  $\alpha_k \in G_0^k$ . Since  $R_i^{N_0}(\alpha, \alpha)$  and  $R_i^{N_0}(\alpha_k, \alpha)$  for all  $k \neq i$ , it follows that  $R_i^{N_0}(\alpha_i, \alpha)$  holds, so  $R_i^{N_1}(f_k(\alpha_k), \alpha)$  holds for all  $k < n$ , and so  $R_i^{N_1}(f(\alpha), \alpha)$  holds. The other direction is similar.

*Proof.* (of claim) For a finite set  $b$  of elements of  $\lambda$ , let  $L_b^j = G_j^k \cap \bigcap_{\alpha \in b} H_{k, \alpha}^j$ . For  $m \leq k+1$ , let  $K_m^j = \sum_{|b|=m} L_b^j$  (as a subspace of  $G_k^j$ ), so  $K_m^j$  is not necessarily definable (however  $K_0^j$  and  $K_{k+1}^j$  are). So this is a decreasing sequence of subgroups (so subspaces),  $G_j^k = K_0^j \geq \dots \geq K_{k+1}^j = G_j^n$ . Now it is enough to show that

*Subclaim.* For  $m \leq k+1$ , there is an isomorphism  $f_m : K_m^0 \rightarrow K_m^1$  which is an isomorphism of the induced structure  $\langle K_m^j, \lambda \rangle$ .

*Proof.* (of subclaim) The proof is by reverse induction. For  $m = k+1$  we already have this. Suppose we have  $f_{m+1}$  and we want to construct  $f_m$ . Let  $b \subseteq \lambda$  of size  $m$ . If  $m = k$ , then it is easy to see that  $|L_b^j / (K_{m+1}^j \cap L_b^j)| = 2$  (this is true in  $M$ ), so there is an isomorphism  $g_b : L_b^0 / (K_{m+1}^0 \cap L_b^0) \rightarrow L_b^1 / (K_{m+1}^1 \cap L_b^1)$ .

Assume  $|b| < k$ . In our original model  $M$ ,  $L_b \subseteq K_k$ , but here can find infinitely pairwise distinct cosets in  $L_b^j / (K_{m+1}^j \cap L_b^j)$ . Indeed, we can write a type in  $\lambda$  infinitely many variables  $\{x_i \mid i < \lambda\}$  over  $b$  saying that  $x_i \in L_b$  and  $x_i - x_j \notin K_{m+1}$  for  $i \neq j$  — for all  $r < \omega$ , it will contain a formula

of the form

$$\forall (z_0, \dots, z_{r-1}) \forall_{t < r} (\bar{y}_t) \left( \left[ \forall t < r (z_t \in L_{\bar{y}_t} \wedge |\bar{y}_t| = m+1) \right] \rightarrow x_i - x_j \neq \sum_{t=0}^{r-1} z_t \right).$$

To show that this type is consistent, we may assume that  $b \subseteq Q^M$  so we work in our original model  $M$ . For such  $r$  and  $b$ , choose distinct  $\eta_0, \dots, \eta_{l-1} \in G_\omega$  such that for  $s, s' < l$

- $\eta_s(i) = 0$  for  $i \neq k$
- $|\text{supp}(\eta_s(k))| = r+1$
- $u_1 \in \text{supp}(\eta_s(k)) \wedge u_2 \in \text{supp}(\eta_{s'}(k)) \Rightarrow u_1 \cap u_2 = b$  ( $s$  might be equal to  $s'$ )

Then  $\{\eta_s \mid s < l\}$  is such that  $\eta_{s_1}, \eta_{s_2}$  satisfies the formula above for all  $s_1 \neq s_2 < l$  (assume  $z_0 \in L_{c_0}, \dots, z_{r-1} \in L_{c_r}$  where  $|c_t| = m+1$  and  $\sum_{t < r} z_t = \eta_{s_1} - \eta_{s_2}$ . We may assume that

$$\bigcup_{t < r} \text{supp}(z_t) = \text{supp}(\eta_{s_1} - \eta_{s_2}) = \text{supp}(\eta_{s_1}) \cup \text{supp}(\eta_{s_2}),$$

but then for  $t < r$ ,  $|\text{supp}(z_t)| \leq 1$  by our choice of  $\eta_s$  and this is a contradiction).

Now, let  $N'_j$  be an elementary extension of  $N_j$  with realizations  $D = \{c_i \mid i < \lambda\}$  of this type, and we may assume  $|N'_j| = \lambda$ . Then, add a predicate for the set  $D$ , and an injective function from  $N'_j$  to  $D$ . Finally, by resplendence of  $N_j$ ,  $|L_b^j / (K_{m+1}^j \cap L_b^j)| = \lambda$ .

Hence it has a basis of size  $\lambda$ , and let  $g_b : L_b^0 / (K_{m+1}^0 \cap L_b^0) \rightarrow L_b^1 / (K_{m+1}^1 \cap L_b^1)$  be an isomorphism of  $\mathbb{F}_2$ -vector spaces.

Note that  $f_{m+1} \upharpoonright K_{m+1}^0 \cap L_b^0$  is onto  $K_{m+1}^1 \cap L_b^1$  (this is because  $f_{m+1}$  is an isomorphism of the induced structure). We can write  $L_b^j = (K_{m+1}^j \cap L_b^j) \oplus W^j$  where  $W^j \cong L_b^j / (K_{m+1}^j \cap L_b^j)$ , so  $g_b$  induces an isomorphism from  $W^0$  to  $W^1$ . Now extend  $f_{m+1} \upharpoonright K_{m+1}^0 \cap L_b^0$  to  $f_m^b : L_b^0 \rightarrow L_b^1$  using  $g_b$ .

Next, note that  $\{L_b^j \mid b \subseteq \lambda, |b| = m\}$  is independent over  $K_{m+1}^j$ , i.e. for distinct  $b_0, \dots, b_r$ ,  $L_{b_r}^j \cap \sum_{t < r} L_{b_t}^j \subseteq K_{m+1}^j$ . Indeed, in our original model  $M$ , the intersection  $L_{b_r} \cap \sum_{t < r} L_{b_t}$  is equal to  $\sum_{t < r} L_{b_r \cup b_t}$ , so this is true also in  $N_j$  (in fact, this is true for every choice of finite sets  $b_t$  — regardless of their size).

Define  $f_m$  as follows: given  $a \in K_m^j$ , we can write  $a = \sum_{b \in B} a_b$  where  $a_b \in L_b$  for a finite  $B \subseteq \{b \subseteq \lambda \mid |b| = m\}$ , and define  $f_m(a) = \sum_{b \in B} f_b(a_b)$ . It is well defined: if  $\sum_{b \in B} x_b = \sum_{b' \in B'} y_{b'}$ , then for  $b_1 \in B \cap B'$ ,  $b_2 \in B \setminus B'$  and  $b_3 \in B' \setminus B$ ,  $(x_{b_1} - y_{b_1}), x_{b_2}, y_{b_3} \in K_{m+1}$ , so

$$\begin{aligned} \sum_{b \in B} f_b(x_b) - \sum_{b' \in B'} f_{b'}(y_{b'}) &= \\ \sum_{b \in B \cap B'} f_{m+1}(x_b - y_b) + \sum_{b \in B \setminus B'} f_{m+1}(x_b) - \sum_{b \in B' \setminus B} f_{m+1}(y_b) &= 0. \end{aligned}$$

It is easy to check similarly that  $f_m$  is a group isomorphism.

We check that  $f_m$  is an isomorphism of the induced structure. So suppose  $a \in K_m^0$ ,  $\alpha < \lambda$  and  $i < \omega$ . If  $i \neq k$ , then since  $K_m^j \subseteq G_j^k$  for  $j < 2$ , both  $R_i^{N_0}(a, \alpha)$  and  $R_i^{N_1}(f(a), \alpha)$  hold. Suppose  $R_k^{N_0}(a, \alpha)$  holds. Write  $a = \sum_{b \in B} a_b$  as above. Then (by the remark in parenthesis above) we

may assume that  $b \in B \Rightarrow \alpha \in b$ . So by definition of  $f_m$ ,  $R_k^{N_1}(f_m(a_\alpha), \alpha)$  holds. The other direction holds similarly and we are done.

□

*Note 4.7.* This example is not strongly dependent, because the sequence of formulas  $R_n(x, y)$  is a witness of that the theory is not strongly dependent. So as we said in the introduction, it is still open whether Property A holds for strongly dependent theories.

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